

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR THE PREDICTION OF AN EPITOPE

and for which a patent application:

- ☐ is attached hereto and is identified by Attorney Docket No.:
- ☒ was filed in the United States on July 17, 2003 as Application No. 10/622,675 (for declaration not accompanying application) with amendment(s) filed on (if applicable)
- ☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			YES <input type="checkbox"/>	NO <input type="checkbox"/>
			YES <input type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

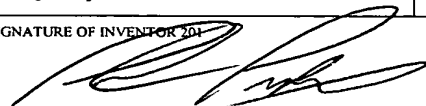
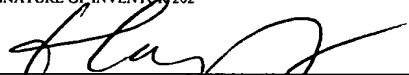
PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Predki	FIRST NAME Paul	MIDDLE NAME F.	
	RESIDENCE & CITIZENSHIP	CITY Hamden	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP Canada	
	POST OFFICE ADDRESS	STREET 40 Quarry Lane	CITY Hamden	STATE OR COUNTRY Connecticut	ZIP CODE 06518
	SIGNATURE OF INVENTOR 201 			DATE 3/29/04	
2 0 2	FULL NAME OF INVENTOR	LAST NAME Guo	FIRST NAME Hong	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Guilford	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	STREET 5 Laurel Ridge Road	CITY Guilford	STATE OR COUNTRY Connecticut	ZIP CODE 06437
	SIGNATURE OF INVENTOR 202 			DATE 3/29/04	



POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Predki *et al.*

Confirmation No: 3642

Serial No.: 10/622,675

Group Art Unit: 1645

Filed: July 17, 2003

Examiner: To Be Assigned

For: METHOD FOR THE PREDICTION OF AN
EPITOPE

Attorney Docket No.: 10959-013-999

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Protometrix, Inc. hereby appoints:

☒ Practitioners at Customer Number 20583

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please direct all correspondence for this application to:

☐ The above mentioned Customer Number.

☒ Practitioners at Customer Number **20583**.

☒ Firm or Individual Name:

Address: Jones Day
222 East 41st Street
New York, New York 10017

Telephone: (212) 790-9090

Statement Under 37 C.F.R. 3.73(b)

Protometrix, Inc. states that it is:

- ☒ the assignee of the entire right, title, and interest; or
☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of an assignment from the inventors. A copy of the assignment is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

ASSIGNEE: Protometrix, Inc.

Date: March 31, 2004 Signature: Hollis Kleinert
Typed Name: HOLLIS KLEINERT
Position/Title: PRESIDENT & CEO
PROTOMETRIX, Inc.

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required.

☐ Total of _____ forms are submitted.

ASSIGNMENT

WHEREAS, WE, **Paul F. Predki** and **Hong Guo**, ASSIGNORS, citizens of Canada and of the United States, respectively, residing at 40 Quarry Lane, Hamden, Connecticut 06518 and at 5 Laurel Ridge Road, Guilford, Connecticut 06437, respectively, are the inventors of the invention in **METHOD FOR THE PREDICTION OF AN EPITOPE** for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐
☒ which is identified by Pennie & Edmonds LLP Docket No. 10959-013-999
☒ which was filed on July 17, 2003, Application No. 10/622,675

and WHEREAS, **PROTOMETRIX, INC.**, a corporation organized and existing under the laws of the state of Delaware, and having a place of business at 688 East Main Street, Branford, Connecticut 06405, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:


NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

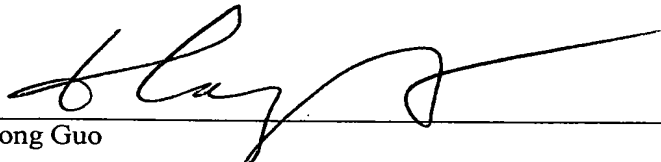
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

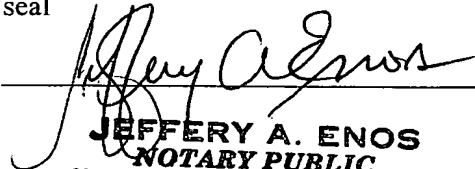
Date March 29, 2004  L.S.
Paul F. Predki

Date March 29, 2004  L.S.
Hong Guo

State of CT.)
County of NEW HAVEN) SS.: BRANFORD

On March 29th, 2004, before me, JEFFERY A. ENOS,
Notary Public, personally appeared Paul F. Predki, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

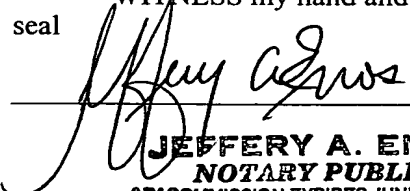
WITNESS my hand and official
seal


JEFFERY A. ENOS
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2007

State of CT.)
County of NEW HAVEN) SS.: BRANFORD

On March 29th, 2004, before me, JEFFERY A. ENOS,
Notary Public, personally appeared Hong Guo, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official
seal


JEFFERY A. ENOS
NOTARY PUBLIC
MY COMMISSION EXPIRES JUNE 30, 2007